

**CENTRAL BANK OF THE RUSSIAN FEDERATION**

**ORDINANCE No. 3253-U,**

**dated 30 April 2014**

**ON THE PROCEDURE FOR MAINTAINING THE REGISTER OF CONTRACTS  
CONCLUDED ON TERMS OF A MASTER AGREEMENT (SINGLE AGREEMENT),  
TIMEFRAMES FOR PROVIDING INFORMATION NECESSARY FOR  
MAINTAINING THE SAID REGISTER AND INFORMATION FROM THE SAID  
REGISTER, AND SUBMITTING THE REGISTER OF CONTRACTS CONCLUDED  
ON TERMS OF A MASTER AGREEMENT (SINGLE AGREEMENT) TO THE  
CENTRAL BANK OF THE RUSSIAN FEDERATION (BANK OF RUSSIA)**

List of amending documents

(as amended by Bank of Russia Ordinances No. 3382-U, dated 17 September 2014, and  
No. 3567-U, dated 16 February 2015)

Pursuant to Federal Law No. 86-FZ, dated 10 July 2002, ‘On the Central Bank of the Russian Federation (Bank of Russia)’ (Compendium of Legislation of the Russian Federation, 2002, No. 28, Art. 2790; 2003, No. 2 Art. 157; No. 52, Art. 5032; 2004, No. 27 Art. 2711; No. 31, Art. 3233; 2005, No. 25 Art. 2426; No. 30, Art. 3101; 2006, No. 19, Art. 2061; No. 25, Art. 2648; 2007, No. 1 Art. 9, Art. 10; No. 10, Art. 1151; No. 18, Art. 2117; 2008, No. 42, Art. 4696, Art. 4699; No. 44, Art. 4982; No. 52, Art. 6229, Art. 6231; 2009, No. 1, Art. 25; No. 29, Art. 3629; No. 48, Art. 5731; 2010, No. 45, Art. 5756; 2011, No. 7, Art. 907; No. 27, Art. 3873; No. 43, Art. 5973; No. 48, Art. 6728; 2012, No. 50, Art. 6954; No. 53, Art. 7591, Art. 7607; 2013, No. 11, Art. 1076; No. 14, Art. 1649; No. 19, Art. 2329; No. 27, Art. 3438, Art. 3476, Art. 3477; No. 30, Art. 4084; No. 49, Art. 6336; No. 52, Art. 6975), Clauses 6 and 7 of Article 51.5 of Federal Law No. 39-FZ , dated 22 April 1996, ‘On the Securities Market’ (Compendium of Legislation of the Russian Federation, 1996, No. 17, Art. 1918; 2001, No. 33, Art. 3424; 2002, No. 52, Art. 5141; 2004, No. 27, Art. 2711; No. 31, Art. 3225; 2005, No. 11, Art. 900; No. 25, Art. 2426; 2006, No. 1, Art. 5; No. 2, Art. 172; No. 17, Art. 1780; No. 31, Art. 3437; No. 43,

Art. 4412; 2007, No. 1, Art. 45; No. 18, Art. 2117; No. 22, Art. 2563; No. 41, Art. 4845; No. 50, Art. 6247, Art. 6249; 2008, No. 44, Art. 4982; No. 52, Art. 6221; 2009, No. 1, Art. 28; No. 18, Art. 2154; No. 23, Art. 2770; No. 29, Art. 3642; No. 48, Art. 5731; No. 52, Art. 6428; 2010, No. 17, Art. 1988; No. 31, Art. 4193; No. 41, Art. 5193; 2011, No. 7, Art. 905; No. 23, Art. 3262; No. 27, Art. 3880; No. 29, Art. 4291; No. 48, Art. 6728; No. 49, Art. 7040; No. 50, Art. 7357; 2012, No. 25, Art. 3269; No. 31, Art. 4334; No. 53, Art. 7607; 2013, No. 26, Art. 3207; No. 30, Art. 4082, Art. 4084; No. 51, Art. 6699; No 52, Art. 6985) (hereinafter referred to as the Federal Law ‘On the Securities Market’), this Ordinance shall establish the following:

(in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

the types of contracts concluded at trades other than organised trades on terms of a master agreement (single agreement) (hereinafter referred to as the master agreement), information on which is required to be submitted to a self-regulatory organisation of professional securities market participants, a clearing organisation or an exchange that maintain the registers of these contracts (hereinafter referred to as the repository), and the timeframes for submitting this information to the repository;

(in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

the procedure for maintaining the register of contracts concluded on terms of a master agreement, providing information necessary for maintaining the said register and information from the said register, and submitting the register of contracts concluded on terms of a master agreement to the Central Bank of the Russian Federation (hereinafter referred to as the Bank of Russia);

persons required to submit information to the repository.

(this paragraph was introduced by Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

1. Upon the receipt of a notice by the Bank of Russia from a self-regulatory organisation of professional securities market participants, a clearing organisation or an exchange about its intentions to maintain the register of contracts stipulated by Clause 6 of Article 51.5 of the Federal Law ‘On the Securities Market’, this information shall be posted on the Bank of Russia’s official website on the Internet information and telecommunications network (hereinafter referred to as the Internet).

1.1. The following legal entities established in accordance with the Russian Federation legislation shall be required to submit information to the repository:

credit institutions,

brokers,

dealers,

managers,  
depositories,  
registrars,  
non-governmental pension funds,  
management companies of an investment fund, a unit investment fund and non-governmental pension fund,  
joint-stock investment funds,  
trade organisers,  
clearing organisations,  
insurance companies.

(Clause 1.1 was introduced by Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

2. Information on the following types of contracts concluded on terms of the master agreement shall be submitted to the repository from the day this Ordinance comes into force:

(in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

a repo contract;

a contract stipulating the obligation of one party to transfer the currency to the ownership of the second party and the obligation of the second party to accept and pay for the currency, and also the obligation of the second party to transfer the currency to the ownership of the first party and the obligation of the first party to accept and pay for the currency. Such contract shall not stipulate other obligations of the parties for the payment or transfer of the currency (monetary funds).

3. Information on the contracts concluded pursuant to the master agreement and constituting derivatives, the types of which are specified by the Bank of Russia in accordance with Clause 2 of Article 44 of the Federal Law 'On the Securities Market', shall be submitted to the repository from 1 October 2015. At the same time, information on such contracts concluded after 11 August 2011 and before the conclusion of an agreement with the repository (a supplementary agreement with the repository on the provision of information under all types of contracts, if the original agreement with the repository was concluded under specific types of contracts), where obligations under such contracts have not been fulfilled as of the date of submitting information, shall be submitted to the repository no later than 1 October 2015.

(Clause 3 in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014, and Bank of Russia Ordinance No. 3567-U, dated 16 February 2015)

4. Information on the contracts constituting derivatives, the types of which are specified by the Bank of Russia in accordance with Clause 2 of Article 44 of the Federal Law 'On the

Securities Market' and concluded pursuant to the master agreement before 11 August 2011 inclusive, where obligations under such contracts have not been fulfilled as of the date of submitting information, may be submitted to the repository before 1 October 2015 in accordance with an agreement with the repository (a supplementary agreement with the repository on the provision of information on the respective types of contracts).

(Clause 4 in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014, and Bank of Russia Ordinance No. 3567-U, dated 16 February 2015)

5. The data contained in information stipulated in Clauses 2-4 of this Ordinance shall be included in the register of contracts concluded on terms of a master agreement.

6. The repository shall maintain the register of contracts in accordance with this Ordinance and the agreement concluded by the repository with the parties to the master agreement (hereinafter referred to as the agreement on the provision of repository services). The repository shall disclose information on its website on the Internet on the procedure for and terms of providing repository services.

This paragraph has been null and void since 1 October 2014 in accordance with Bank of Russia Ordinance No. 3382-U, dated 17 September 2014.

7. Should the repository involve other legal entities in the process of gathering and processing data for maintaining the register of contracts (hereinafter referred to as repository agents), the repository shall disclose information on repository agents on its website on the Internet.

8. The repository shall ensure the integrity of documents and messages received and notes made by the repository in accordance with this Ordinance, and also the integrity of information entered into the register of contracts, their protection from distortions and unauthorised access, and electronic signature safe custody during the period established by this procedure for keeping such information and documents. The repository shall not be allowed to disclose or provide information on data entered into the register of contracts, and also other information received by the repository in the process of providing repository services, except for the cases established by federal laws and this Ordinance.

9. Information on contracts concluded on terms of one master agreement shall be provided only to one repository specified by the parties in the master agreement or in a separate agreement.

An agreement on the provision of repository services concluded after 1 January 2015 shall contain international identification codes of legal entities – parties to the master agreement required to submit information to the repository in accordance with Clause 1.1 of this Ordinance, and also of the informing person.

(this paragraph was introduced by Bank of Russia Ordinance No. 3382-U, dated 17 September 2014, in the wording of Bank of Russia Ordinance No. 3567-U, dated 16 February 2015)

10. Information on contracts concluded on terms of a master agreement shall be provided by the person (persons) (hereinafter referred to as the informing person) that is (are) specified by the parties to the master agreement and may be a party (parties) to the master agreement or a third party. Information on the informing person shall be indicated in the agreement on the provision of repository services or shall be provided to the repository in accordance with the procedure stipulated in the said agreement.

In case when a person that is not required to submit information to the repository and has not concluded an agreement with the repository on the provision of repository services is a party to the contracts concluded on terms of a master agreement, while a person required to submit information to the repository is the second party to the contract, the informing person shall be specified by the party required to submit information to the repository.

(this paragraph was introduced by Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

11. The repository shall provide services for maintaining the register of contracts under all types of contracts specified in Clauses 2 and 3 of this Ordinance. The repository may provide services for maintaining the register of other contracts concluded on terms of a master agreement.

(Clause 11 in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

12. Information containing data on a contract concluded on terms of a master agreement (hereinafter referred to as the agreement) shall be provided to the repository no later than three business days from the date when the said contract was concluded, terminated or fulfilled.

(in the wording of Bank of Russia Ordinance No. 3567-U, dated 16 February 2015)

13. This Clause has been null and void since 11 April 2015 in accordance with Bank of Russia Ordinance No. 3567-U, dated 16 February 2015.

14. Information containing data on the conclusion of the contract shall be submitted to the repository along with information containing data on the master agreement, on terms of which the contract is concluded, if the data on such master agreement were not earlier entered into the register of contracts.

15. Unless stipulated otherwise by this Ordinance, in case of changes in the terms of the master agreement or the contract, the data on which were entered into the register of contracts, information on such changes and (or) the codes of the status of obligations under the contract

shall be provided to the repository no later than three business days from the date when the respective changes come into force and (or) the respective event occurs.

16. Upon the cessation of all obligations under all contracts concluded pursuant to the master agreement, information about this may be provided in one message.

(in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

17. Information containing data on contracts shall be submitted in the form of electronic messages based in the format established by the agreement on the provision of repository services.

18. In case of a technical fault with the repository or the informing person, which has not been corrected until the expiry of the term established by this Ordinance for submitting a message, information on master agreements or contracts may be submitted to the repository in hard copies in accordance with the procedure stipulated by the agreement on the provision of repository services.

19. The repository shall register all messages coming to the repository in the process of activities stipulated by this Ordinance in the journal of messages on the day of their receipt.

An entry in the journal of messages shall contain the following:

the name of the message and its number assigned at the time of registration (an incoming number);

the date and the time of the message registration in the journal of messages;

the number (the outgoing number) and the date of the message dispatch (if available);

the paragraph has been null and void since 1 October 2014 in accordance with Bank of Russia Ordinance No. 3382-U, dated 17 September 2014;

the outgoing number of the reply (if available) to the message received and the date of its provision.

The journal of messages shall be maintained in the electronic format provided that there is a possibility for furnishing the journal's information and data contained in the journal in hard copies.

20. This Clause has been null and void since 1 October 2014 in accordance with Bank of Russia Ordinance No. 3382-U, dated 17 September 2014.

21. The repository shall register data entry into the register of contracts, and also each refusal of data entry into the register of contracts, in the registration journal of data entry into the register of contracts (hereinafter referred to as the registration journal). Data entry into the register of contracts shall be registered along with making a record in the register of contracts; a refusal to enter data into the register of contracts shall be registered on the day of the repository's decision to refuse to enter data into the register of contracts.

22. The registration journal, which is an integral part of the register, shall contain the following records:

the number of a master agreement assigned by the repository;

the code of the person who has submitted a message serving as the ground for entering or refusing to enter data into the register of contracts;

the number and date of the message assigned in the journal of messages;

the date, time and number of a record in the register of contracts or the date of a notice on a refusal to enter data into the register of contracts;

the type of a record in the register of contracts (the entry of data on the master agreement, the contract, the change of data on the master agreement, the contract, etc.);

information on data entered into the register of contracts or the grounds for refusing to enter data into the register of contracts. In cases when data are entered into the register of contracts to change the data already entered into the register of contracts, this information shall indicate the number and the date of the previous record in the register of contracts;

the Unique Trade Identifier of contracts (master agreements) concluded from 1 July 2016.

(this paragraph was introduced by Bank of Russia Ordinance No. 3567-U, dated 16 February 2015)

23. Information on the contract shall be entered into the register of contracts only after data are entered into the register of contracts on the master agreement, on terms of which the respective contract was concluded.

24. The repository shall enter a record or refuse to enter a record into the register of contracts no later than the business day following the day of receiving a message from the informing person and, if there is more than one informing person, no later than the business day following the day of receiving the latest message, provided that the repository establishes that the fields required for checking in the messages received from informing persons are identical.

(in the wording of Bank of Russia Ordinance No. 3567-U, dated 16 February 2015)

25. The repository shall refuse to enter a record into the register of contracts in the following cases:

the message contains information on the contract concluded on terms of a master agreement, if information on such an agreement was not entered into the register of contracts and was not submitted along with the message on the contract;

the message was signed by an unauthorised person;

(in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

information on the contract contained in the message has already been entered into the

register of contracts;

the message was received from a person who is not specified as an informing person in compliance with the master agreement (the agreement of the parties);

the message was drawn up in violation of the requirements stipulated in the agreement on the provision of repository services;

the message lacks a Unique Trade Identifier of agreements (master agreements) concluded from 1 July 2016;

(this paragraph was introduced by Bank of Russia Ordinance No. 3567-U, dated 16 February 2015)

26. In case of the repository's refusal to enter a record into the register of contracts, the informing person shall have the right to make changes to the message and submit a new message to the repository on the master agreement or the contract no later than three business days from the date of receiving the repository's refusal. In this case, the term stipulated in this Ordinance for submitting a message shall be deemed as observed, except for the case of the repository's repeat refusal to enter a record into the register of contracts.

27. The repository shall no later than the business day following the day of entering a record into the register of contracts provide the message containing all data entered into the register of contracts to the parties to the master agreement and the informing person, if a person that is not a party to the master agreement was defined as such person. The procedure for providing such message shall be specified in the agreement on the provision of the repository services.

28. The informing person shall have the right to submit objections to the repository with regard to the data entered into the register of contracts no later than three business days following the day of receiving the repository's message on the entry of the respective data into the register of contracts.

(in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

29. The repository shall consider objections to the data entered into the register of contracts no later than one business day from the date of their receipt and shall make the respective changes to the register or give the informing person a motivated refusal to satisfy the objections received.

30. The register of contracts, including the registration journal, shall be maintained in the electronic format provided that there is a possibility for furnishing the data contained in the register of contracts in hard copies. The repository shall ensure the storage and protection of all data entered into the register of contracts, including by way of obligatorily creating a backup copy of the register of contracts and defining the procedures for preventing technical faults and



errors, and also by way of preventing unauthorised persons' access to the register of contracts.

31. Software and hardware means designed to maintain the journal of messages and the register of contracts, including the registration journal, shall make it possible to draw up the register of contracts as of any moment of time, and also to compile documents based on the data entered into the register of contracts on all or certain contracts (hereinafter referred to as extracts from the register of contracts) as of any moment or for any period of time.

32. The register of contracts shall include data pursuant to Tables 1 and 2 of Appendix 1 to this Ordinance. Specifically, the register of contracts shall include data related to the respective type of the contract pursuant to Tables 3-8 of Appendix 1 and Appendices 2-7 to this Ordinance, and also data additionally provided by the informing person for inclusion in the register.

Data as per the form of appendices to this Ordinance shall be filled in pursuant to the methodological instructions on the provision of information to the repository (Appendix 8 to this Ordinance) and shall be submitted to the repository from 1 October 2015.

The data specified in this Clause may be included in the register of contracts in a foreign language, if a contract, on which such data are provided, has been drawn up in a foreign language.

(in the wording of Bank of Russia Ordinance No. 3567-U, dated 16 February 2015)

33. The register of contracts shall give a reliable and full view of data entered into it. No data mandatory for provision shall be entered into the register of contracts, if such data are incomplete.

(in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

34. This Clause has been null and void since 11 April 2015 in accordance with Bank of Russia Ordinance No. 3567-U, dated 16 February 2015.

35. Should the repository receive information containing data on the contract after the expiry of the term set by this Ordinance, the repository shall not provide data on such contracts for the purposes of determining net termination amount upon the cessation of obligations under contracts due to the initiation of bankruptcy proceedings.

36. The repository shall submit the register of contracts to the Bank of Russia on each business day of a month with data as of the end of the operational day preceding the day of submitting the register of contracts.

(Clause 36 in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

37. The repository shall submit to the Bank of Russia the register of contracts together with the journal of messages and the registration journal in the electronic formats DBF (Data

Base Format), DB2 (IBM Database 2), CSV (Comma-Separated Values), HTML (Hyper Text Markup Language), XML (eXtensible Markup Language), FpML (Financial products Markup Language), XLSX (Excel Workbook) or through the provision of uninterrupted protected access to the tables of the repository's database.

(Clause 37 in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

38. Upon a written request, the repository shall provide an extract from the register of contracts (the journal of messages) to the parties to the contract, the informing person, the Bank of Russia, and also other persons specified in Clause 41 of this Ordinance.

(in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

39. An extract from the register of contracts (the journal of messages) shall be provided to the parties to the contract or the informing person according to the procedure and within the time limits established by the agreement on the provision of repository services.

(in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

40. Extracts from the register of contracts (the journal of messages) shall be provided to the Bank of Russia within the time limits, in a format and on media carriers specified in the Bank of Russia's corresponding inquiry.

(in the wording of Bank of Russia Ordinance No. 3382-U, dated 17 September 2014)

41. The repository shall provide extracts from the register of contracts to the receiver (the liquidation commission) of the debtor that is a party to the contract. An inquiry from the receiver (the liquidation commission) shall include information on the appointment of the receiver (the liquidation commission) with the attached documents confirming the relevant powers (a court order, a decision on appointing the liquidation commission). In case of a credit institution's bankruptcy (liquidation), additional information shall include the date of the banking licence revocation (cancellation) and data on a representative of the receiver (liquidator) of the Deposit Insurance Agency state corporation (hereinafter referred to as the Agency), if the powers of the receiver (liquidator) are exercised by the Agency.

42. The repository shall provide an extract from the register of contracts or give a motivated refusal to provide such extract to the persons specified in Clause 41 of this Ordinance within five business days from the date the repository receives the respective inquiry.

43. The person concerned may appeal in a court of law against the repository's refusal to provide an extract from the register of contracts. Should the repository fail to provide an extract from the register of contracts within the term set by this Ordinance for technical reasons, the repository shall send the person concerned a message within the same time limits to indicate the term for providing the respective information.

44. The repository shall hold the incoming messages and documents related to the maintaining of the register of contracts during five years from the date of the expiry of the contract, the data on which were entered into the register of contracts.

45. The repository shall hold the journal of messages and the registration journal, and also the data comprising the register of contracts during the entire term of maintaining the register of contracts and for no less than five years after ceasing to maintain the register of contracts.

46. This Ordinance shall become effective 10 days after its official publication in the Bank of Russia Bulletin.

47. From the day this Ordinance becomes effective, the following regulations shall not be applied:

Order by the Federal Financial Markets Service of Russia (FFMS of Russia) No. 11-68/pz-n, dated 28 December 2011, 'On Approving the Procedure for Maintaining the Register of Contracts Concluded on Terms of a Master Agreement (Single Agreement), Providing Information Necessary for Maintaining the Said Register and Information from the Said Register, and Submitting the Register of Contracts Concluded on Terms of a Master Agreement (Single Agreement) to the Federal Executive Authority for the Securities Market' registered by the Ministry of Justice of the Russian Federation under No. 24107, dated 11 May 2012 (Bulletin of Regulatory Acts of Federal Executive Authorities, No. 42, dated 15 October 2013);

Order by the Federal Financial Markets Service of Russia (FFMS of Russia) No. 12-105/pz-n, dated 4 December 2012, 'On Amending FFMS of Russia Order No. 11-68/pz-n, Dated 28 December 2011, 'On Approving the Procedure for Maintaining the Register of Contracts Concluded on Terms of a Master Agreement (Single Agreement), Providing Information Necessary for Maintaining the Said Register and Information from the Said Register, and Submitting the Register of Contracts Concluded on Terms of a Master Agreement (Single Agreement) to the Federal Executive Authority for the Securities Market' registered by the Ministry of Justice of the Russian Federation under No. 26517, dated 29 December 2012 (Rossiiskaya Gazeta No. 15, dated 25 January 2013).

Governor of the Central Bank of the Russian Federation  
ELVIRA S. NABIULLINA

Appendix 1  
to Bank of Russia Ordinance No. 3253-U, dated 30 April 2014,  
'On the Procedure for Maintaining the Register of Contracts  
Concluded on Terms of a Master Agreement (Single

Agreement), Timeframes for Providing Information  
Necessary for Maintaining the Said Register and  
Information from the Said Register, and Submitting the  
Register of Contracts Concluded on Terms of a Master  
Agreement (Single Agreement) to the Central Bank of the  
Russian Federation (Bank of Russia)'

DATA REQUIRED FOR INCLUSION IN THE REGISTER OF CONTRACTS

TABLE 1. DATA ON THE MASTER AGREEMENT

No. 1	Data type 2	Description 3
1	Master agreement identification code	Indicate the Unique Trade Identifier of the master agreement
2	Date and time of entering data on the master agreement into the register	Indicate the date and the time of registration of the master agreement in the register of contracts in the YYYY-MM-DDThh:mm:ss format
3	Standard terms of agreement (the form of the master agreement)	Indicate the name of the organisation, which has developed and published the standard terms of the agreement or the form of the master agreement, the individual designation of the said documents or indicate that the form of the master agreement was developed by the parties (a party) independently
4	Code type of party 1	Indicate the code type of the party to the agreement in compliance with the priority of the use of codes for identifying the parties to the agreement pursuant to Appendix 8 to this Ordinance
5	Code of party 1	Indicate the identification code of the party to the agreement
6	Name of party 1	Indicate the full official name of a legal entity or the surname, name and patronymic (if any) of an individual
7	Country code of party 1	Indicate the country code
8	Type of economic activity of party 1	CCP – central counterparty; CI – credit institution; B –broker; D – dealer; MC – management companies of an investment fund, a unit investment fund and a non-governmental pension fund; Dep –depositories; R – registrars; PF – non-governmental pension funds; IF – joint-stock investment funds; TA – trade organisers; CC – clearing companies; I – insurance companies; Other – other organisations

9	Code type of party 2	Indicate the code type of the party to the agreement in compliance with the priority of the use of codes for identifying the parties to the agreement pursuant to Appendix 8 to this Ordinance
10	Code of party 2	Indicate the identification code of the party to the agreement
11	Name of party 2	Indicate the full official name of a legal entity or the surname, name and patronymic (if any) of an individual
12	Country code of party 2	Indicate the country code
13	Type of economic activity of party 2	CCP – central counterparty; CI – credit institution; B – broker; D – dealer; MC – management companies of an investment fund, a unit investment fund and a non-governmental pension fund; Dep – depositories; R – registrars; PF – non-governmental pension funds; IF – joint-stock investment funds; TA – trade organisers; CC – clearing companies; I – insurance companies; Other – other organisations
14	Code of informing person of party 1	Indicate the legal entity identifier
15	Name of informing person of party 1	Indicate the full official name of a legal entity or the surname, name and patronymic (if any) of an individual
16	Code of informing person of party 2	Indicate the legal entity identifier
17	Name of informing person of party 2	Indicate the full official name of a legal entity or the surname, name and patronymic (if any) of an individual
18	Affiliation of party 1 and party 2	Y – the parties are affiliated entities; N – the parties are not affiliated entities

TABLE 2. GENERAL DATA ON THE CONTRACT

No.	Data type	Description
1	2	3
1	Contract identification code	Indicate the Unique Trade Identifier of the contract
2	Master agreement identification code	Indicate the Unique Trade Identifier of the master agreement. The field remains empty, if the contract was concluded outside the terms of the master agreement
3	Date and time of the contract delivery to the repository	Indicate the date and time of registration of the agreement in the journal of messages in the YYYY-MM-DDThh:mm:ss format

4	Date and time of registration of the contract in the register of contracts	Indicate the date and time of registration of the contract in the register of contracts in the YYYY-MM-DDThh:mm:ss format
5	Status code of obligations under the contract	R – removal of the record on the conclusion of the contract due to the error entry into the register of contracts or the contract’s invalidation; N – termination of the obligations under the contract due to novation (the novation shall be reflected through the registration of a new contract); W – termination of the contract registration in the repository due to the customer’s move to another repository; T – obligations were terminated through their proper fulfillment on time; TD – obligations were terminated through their proper fulfillment ahead of time; C – obligations are overdue; P – obligations are suspended on grounds stipulated in the contract; D – obligations were terminated on other grounds; E – extension of the contract term as a result of the occurrence of a circumstance or an event stipulated in the contract
6	Date of contract conclusion	Indicate the date of conclusion of the agreement in the YYYY-MM-DD format
7	Date of the contract’s entry into force	Indicate the date defined by the parties as ‘the date for the contract’s entry into force’ in the YYYY-MM-DD format, if the said date does not coincide with the date of the contract conclusion. Leave the field empty, if not applicable
8	Contract expiry date	Indicate the contract expiry date in the YYYY-MM-DD format. In cases when the contract stipulates the extension of its term as a result of the occurrence of a respective circumstance or event, this term shall not be taken into account when the contract expiry date is indicated
9	Code type of the seller under the contract	Indicate the code type of the seller under the contract in compliance with the priority of the use of codes for identifying the parties to the contract pursuant to Appendix 8 to this Ordinance
10	Code of the seller under the contract	Indicate the code allowing for identifying the person defined by the parties as the seller under the contract. Leave the field empty, if not applicable
11	Name of the seller under the contract	Full official name of a legal entity or the surname, name and patronymic (if any) of an individual
12	Code type of the buyer under the contract	Indicate the code type of the buyer under the contract in compliance with the priority of the use of codes for identifying the parties to the contact pursuant to Appendix 8 to this Ordinance
13	Code of the buyer under	Indicate the code allowing for identifying the person

	the contract	defined by the parties as the buyer under the contract. Leave the field empty, if not applicable
14	Name of the buyer under the contract	Full official name of a legal entity or the surname, name and patronymic (if any) of an individual
15	Customer type of party 1	F – the customer is a professional securities market participant, a credit institution, an insurance company, a management company, a non-governmental pension fund or another organisation whose core activity is the activity for the provision of financial services, as well as the auxiliary activity in the sphere of financial services; L – the customer is another type of a legal entity; P – the customer is an individual; N – the agreement was concluded not in the customer's interests
16	Code type of the customer of party 1	Indicate the code type of the customer in compliance with the priority of the use of codes for identifying the parties to the contract pursuant to Appendix 8 to this Ordinance
17	Code of the customer of party 1	Indicate the code of the customer of the party to the contract allowing for identifying the customer
18	Name of the customer of party 1	Full official name of a legal entity or the surname, name and patronymic (if any) of an individual
19	Country code of customer 1	Indicate the country code
20	Customer type of party 2	F – the customer is a professional securities market participant, a credit institution, an insurance company, a management company, a non-governmental pension fund or another organisation whose core activity is the activity for the provision of financial services, as well as the auxiliary activity in the sphere of financial services; L – the customer is another type of a legal entity; P – the customer is an individual; N – the agreement was concluded not in the customer's interests
21	Code type of the customer of party 2	Indicate the code type of the customer in compliance with the priority of the use of codes for identifying the parties to the contract pursuant to Appendix 8 to this Ordinance
22	Code of the customer of party 2	Indicate the code of the customer of the party to the contract allowing for identifying the customer
23	Name of the customer of party 2	Full official name of a legal entity or the surname, name and patronymic (if any) of an individual
24	Country code of customer 1	Indicate the country code
25	Voice broker code	Indicate the legal entity identifier of a legal entity that is a broker, if the transaction was concluded through the agency of a broker and the broker is not a party to the transaction Leave the field empty, if not applicable

26	Code of clearing participant	Indicate the legal entity identifier of a legal entity that is a clearing participant. Leave the field empty, if not applicable
27	Code of settlement types	OTC – settlements outside the clearing system; CCP – settlements based on the results of central clearing; CS – settlements based on the results of simple clearing
28	Settlement method code	P – deliverable; C – cash-settled; E – deliverable/cash-settled, which implies a choice of the settlement method by a party to the contract: deliverable or cash-settled
29	Clearing company code	Indicate the legal entity identifier of a legal entity that is a clearing company. Leave the field empty, if not applicable
30	Central counterparty code	Indicate the legal entity identifier of a legal entity that is a central counterparty. Leave the field empty, if not applicable
31	Date of including obligations from the contract into the clearing pool	Indicate the date of including the obligations from the contract into the clearing pool in the YYYY-MM-DD format. Leave the field empty, if not applicable
32	Central clearing requirement	Y – the contract is subject to mandatory central clearing; N – the contract is not subject to mandatory central clearing
33	Clearing date and time	Indicate the date and time of the contract clearing in the journal of incoming messages in the YYYY-MM-DDThh:mm:ss format. Leave the field empty, if not applicable
34	Date of including the contract in the portfolio of contracts	Indicate the date of including the contract in the portfolio of contracts in the YYYY-MM-DD format. Leave the field empty, if not applicable
35	Date of excluding the contract from the portfolio of contracts	Indicate the date of excluding the contract from the portfolio of contracts in the YYYY-MM-DD format. Leave the field empty, if not applicable

TABLE 3. DATA ON OPTION TERMS

No.	Data type	Description
1	2	3
1	Contract identification code	Indicate the Unique Trade Identifier of the contract
2	Classification code	Indicate the code in compliance with Appendix 3 to this Ordinance
3	Relationship code	Indicate the code in compliance with Appendix 6 to this Ordinance
4	Date of option exercise	Indicate the date, on which the option buyer has the right to demand the fulfillment of the terms of the



		contract(for European options), in the YYYY-MM-DDThh:mm:ss format
5	Term of the right for option exercise	Indicate the period, during which the option buyer has the right to demand the fulfillment of the terms of the contract(for American and Bermuda options) in the YYYY-MM-DDThh:mm:ss to YYYY-MM-DDThh:mm:ss format
6	Underlying asset code	Indicate the underlying asset code in compliance with Appendix 7 to this Ordinance
7	Code of underlying asset measurement unit	Indicate the code of the measurement unit of the underlying asset (units, tonnes, barrels, litres, and so forth), except for the currency
8	Underlying asset quantity	Indicate the quantity in the measurement units of the underlying asset (fill in the field 'Underlying asset nominal value', if this field cannot be filled in)
9	Underlying asset nominal value	Indicate the value, using numbers. If the underlying asset is represented by the currency, indicate the amount in the currency, relative to the unit of which the exercise price is set. Fill in one of the following fields: 'Underlying asset quantity' or 'Underlying asset nominal value'
10	Code of the currency used to express the underlying asset nominal value	All-Russian Currency Classifier Code (in letters)
11	Option price (premium)	Indicate the amount in the currency, in which the option price (premium) is expressed
12	Code of the currency used to express the option price (premium)	All-Russian Currency Classifier Code (in letters)
13	Option exercise price	Use numbers to indicate the value in the currency, in which the option exercise price is expressed. If the underlying asset is represented by the currency, indicate the quantity of one currency per unit of another currency
14	Period of average option exercise price	For an Asian option, indicate the period, for which the average price of the option's underlying asset is determined (if the terms of an Asian option stipulate discrete dates, for which the average price is determined, indicate the first and the last date) in the YYYY-MM-DDThh:mm:ss to YYYY-MM-DDThh:mm:ss format
15	Code of the currency used to express the option exercise price	All-Russian Currency Classifier Code (in letters). Leave the field empty, if not applicable
16	Payment amount	Indicate the amount of payment under a binary option – use numbers to indicate the value in the currency, in which the said amount is expressed
17	Code of the currency used to express the amount of	All-Russian Currency Classifier Code (in letters)

	payment	
18	Barrier type	Indicate the barrier type: 'knock-in' or 'knock-out' Leave the field empty, if not applicable
19	Barrier identifier	R – interest rate; EX – exchange rate
20	Barrier price (exchange rate, interest rate)	Indicate the barrier price (the exchange rate, the interest rate) agreed by the parties as the threshold triggering the option buyer's right to the 'knock-in' condition (the option buyer's loss of the right to the 'knock-out' condition) to demand the option exercise from the seller
21	Code of the currency used to express the barrier price	All-Russian Currency Classifier Code (in letters)

TABLE 4. DATA ON SWAP TERMS (EXCEPT FOR A CREDIT SWAP)

No.	Data type	Description
1	2	3
1	Contract identification code	Indicate the Unique Trade Identifier of the contract
2	Classification code	Indicate the code in compliance with Appendix 3 to this Ordinance
3	Relationship code	Indicate the code in compliance with Appendix 6 to this Ordinance
4	Code type of party 1	Indicate the code type in compliance with the priority of the use of codes for identifying the parties to the contract pursuant to Appendix 8 to this Ordinance
5	Code of party 1	Indicate the code allowing for identifying the party to the contract
Yield payments at interest rate		
6	Nominal value of party 1	Indicate the nominal value of the swap contract in the currency, in which the nominal value is expressed
7	Code of the currency used to express the nominal value	All-Russian Currency Classifier Code (in letters)
8	Code of nominal value amortisation (increase)	Indicate the code in compliance with Appendix 4 to this Ordinance
9	Code of rate amortisation (increase)	Indicate the code in compliance with Appendix 4 to this Ordinance
10	Fixed rate (as %)	Indicate the fixed interest rate
11	Maximum rate	Indicate the upper bound of the interest rate
12	Minimum rate	Indicate the lower bound of the interest rate
13	Payment periodicity	Code in compliance with Appendix 5 to this Ordinance
Underlying asset delivery		
14	Underlying asset code	Indicate the underlying asset code in compliance with Appendix 7 to this Ordinance

15	Code of underlying asset measurement unit	Indicate the code of the measurement unit of the underlying asset in compliance with the contract(units, tonnes, barrels, litres and so forth), except for the currency
16	Underlying asset quantity	Indicate the quantity in the units of measurement of the underlying asset (fill in the field 'Underlying asset nominal value', if this field cannot be filled in)
17	Code of the currency used to express the underlying asset nominal value	All-Russian Currency Classifier Code (in letters)
18	Underlying asset nominal value	Indicate the value, using numbers. If the underlying asset is represented by the currency, indicate the amount in the currency, relative to the unit of which the exercise price is set. Fill in one of the following fields: 'Underlying asset quantity' or 'Underlying asset nominal value'
19	Delivery date	Indicate the delivery date in the YYYY-MM-DD format. Fill in one of the fields: 'Delivery date' or 'Delivery period'
20	Delivery period	Indicate the delivery period in the YYYY-MM-DD to YYYY-MM-DD format. Fill in one of the fields: 'Delivery date' or 'Delivery period'
<b>Payments of floating indexed amounts</b>		
21	Underlying asset code	Indicate the underlying asset code in compliance with Appendix 7 to this Ordinance
22	Code of the underlying asset measurement unit	Indicate the code of the measurement unit of the underlying asset in compliance with the contract(units, tonnes, barrels, litres and so forth), except for the currency
23	Underlying asset quantity	Indicate the quantity in the units of measurement of the underlying asset (fill in the field 'Underlying asset nominal value', if this field cannot be filled in)
24	Transaction nominal amount as of the first settlement date	Indicate the specified nominal amount of the transaction in the currency, in which this amount is expressed, as of the first date of settlements
25	Code of the currency used to express the transaction nominal amount	All-Russian Currency Classifier Code (in letters)
26	Code of nominal amount amortisation (increase)	Indicate the code in compliance with Appendix 4 to this Ordinance and (or) indicate the NAR code, if the transaction nominal amount was recalculated to consider the indexed amount
27	Initial price as of the date of the contract conclusion	Indicate the initial price as of the date when the contract was concluded
28	Periodicity of underlying asset revaluation	Indicate the code in compliance with Appendix 5 to this Ordinance
29	Code type of party 2	Indicate the code type in compliance with the priority of the use of codes for identifying the parties to the

		contract pursuant to Appendix 8 to this Ordinance
30	Code of party 2	Indicate the code allowing for identifying the party to the contract
Yield payments at interest rate		
31	Nominal value of party 2	Indicate the nominal value of the swap contract in the currency, in which the nominal value is expressed
32	Code of the currency used to express the nominal value	All-Russian Currency Classifier Code (in letters)
33	Code of nominal value amortisation (increase)	Indicate the code in compliance with Appendix 4 to this Ordinance
34	Code of rate amortisation (increase)	Indicate the code in compliance with Appendix 4 to this Ordinance
35	Fixed rate (as %)	Indicate the fixed interest rate
36	Maximum rate	Indicate the upper bound of the interest rate
37	Minimum rate	Indicate the lower bound of the interest rate
Underlying asset delivery		
38	Underlying asset code	Indicate the underlying asset code in compliance with Appendix 7 to this Ordinance
39	Code of underlying asset measurement unit	Indicate the code of the measurement unit of the underlying asset in compliance with the contract(units, tonnes, barrels, litres and so forth), except for the currency
40	Underlying asset quantity	Indicate the quantity in the units of measurement of the underlying asset (fill in the field 'Underlying asset nominal value', if this field cannot be filled in)
41	Code of the currency used to express the underlying asset nominal value	All-Russian Currency Classifier Code (in letters)
42	Underlying asset nominal value	Indicate the value, using numbers. If the underlying asset is represented by the currency, indicate the amount in the currency, relative to the unit of which the exercise price is set. Fill in one of the following fields: 'Underlying asset quantity' or 'Underlying asset nominal value'
43	Delivery date	Indicate the delivery date in the YYYY-MM-DD format. Fill in one of the fields: 'Delivery date' or 'Delivery period'
44	Delivery period	Indicate the delivery period in the YYYY-MM-DD to YYYY-MM-DD format. Fill in one of the fields: 'Delivery date' or 'Delivery period'
Payments of floating indexed amounts		
45	Underlying asset code	Indicate the underlying asset code in compliance with Appendix 7 to this Ordinance

46	Code of the underlying asset measurement unit	Indicate the code of the measurement unit of the underlying asset in compliance with the contract(units, tonnes, barrels, litres and so forth), except for the currency
47	Underlying asset quantity	Indicate the quantity in the units of measurement of the underlying asset (fill in the field 'Transaction nominal amount as of the first settlement date', if this field cannot be filled in)
48	Transaction nominal amount as of the first settlement date	Indicate the specified nominal amount of the transaction in the currency, in which this amount is expressed, as of the first date of settlements
49	Code of the currency used to express transaction nominal amount	All-Russian Currency Classifier Code (in letters)
50	Code of nominal amount amortisation (increase)	Indicate the code in compliance with Appendix 4 to this Ordinance and (or) indicate the NAR code, if the transaction nominal amount was recalculated to consider the indexed amount
51	Initial price as of the date of the contract conclusion	Indicate the initial price as of the date when the agreement was concluded
52	Periodicity of underlying asset revaluation	Indicate the code in compliance with Appendix 5 to this Ordinance

TABLE 5. DATA ON CREDIT SWAP TERMS

No.	Data type	Description
1	2	3
1	Contract identification code	Indicate the Unique Trade Identifier of the contract
2	Relationship code	Indicate the code in compliance with Appendix 6 to this Ordinance
3	Code of controlling person	Indicate the legal entity identifier of a legal entity and, in its absence, another code allowing for identifying the name and location of the person against whose credit risk protection is acquired
4	Type code of obligations	Indicate the type code of the controlling person's obligations (a debt obligation, a basket (portfolio) of debt obligations and so forth)
5	Category code of controlling person's obligations	Indicate the category code of the controlling person's obligations (a bond, a loan, another debt security and so forth)
6	Nominal amount	Indicate the nominal amount of the swap contract in the currency, in which the nominal amount is expressed
7	Code of the currency used to express the nominal amount	All-Russian Currency Classifier Code (in letters)

8	Fixed rate for calculating payments to the seller of credit protection	Indicate the fixed rate for calculating payments to the seller of credit protection
9	Payment periodicity	Indicate the code in compliance with Appendix 5 to this Ordinance
10	Original payment amount	Indicate the amount of the original payment to the seller of credit protection
11	Credit event code	Indicate the code of the event whose occurrence, as agreed by the parties, triggers the right of the credit protection holder to demand the fulfillment of the contract

TABLE 6. DATA ON FORWARD CONTRACT TERMS

No.	Data type	Description
1	2	3
1	Contract identification code	Indicate the Unique Trade Identifier of the contract
2	Classification code of derivative	Indicate the code in compliance with Appendix 3 to this Ordinance
3	Relationship code	Indicate the code in compliance with Appendix 6 to this Ordinance
4	Underlying asset code	Indicate the underlying asset code in compliance with Appendix 7 to this Ordinance
5	Code of the underlying asset measurement unit	Indicate the code of the measurement unit of the underlying asset in compliance with the contract(units, tonnes, barrels, litres and so forth), except for the currency
6	Underlying asset quantity	Indicate the quantity in the units of measurement of the underlying asset (fill in the field 'Underlying asset nominal value', if this field cannot be filled in)
7	Code of the currency used to express the underlying asset nominal value	All-Russian Currency Classifier Code (in letters)
8	Underlying asset nominal value	Indicate the value, using numbers. If the underlying asset is represented by the currency, indicate the amount in the currency, relative to the unit of which the exercise price is set. Fill in one of the following fields: 'Underlying asset quantity' or 'Underlying asset nominal value'
9	Delivery date	Indicate the delivery date in the YYYY-MM-DD format
10	Settlement date	Indicate the payment date in the YYYY-MM-DD format
11	The contract's forward price	Use numbers to indicate the contract's forward price
12	Code of the currency used to express the forward price	All-Russian Currency Classifier Code (in letters). Leave the field empty, if not applicable

TABLE 7. DATA ON REPO CONTRACT TERMS

No.	Data type	Description
1	2	3
1	Contract identification code	Indicate the Unique Trade Identifier of the contract
2	Underlying asset code	Indicate the underlying asset code in compliance with Appendix 7 to this Ordinance
3	Quantity of securities	Indicate the quantity of securities in units (fill in the field 'Securities nominal value', if this field cannot be filled in)
4	Securities nominal value	Indicate the value, using numbers. Fill in one of the fields: 'Quantity of securities' or 'Securities nominal value'
5	Code of the currency used to express the securities nominal value	All-Russian Currency Classifier Code (in letters)
6	Settlement amount under the contract's first part	Indicate the settlement amount under the first part of the repo contract in the currency, in which the transaction price for the contract's first part is expressed
7	Code of the currency used to express the price	All-Russian Currency Classifier Code (in letters)
8	Repo fixed rate	Indicate the repo fixed rate
9	Repo floating rate	Indicate the repo floating rate
10	Maximum rate	Indicate the upper bound of the interest rate
11	Minimum rate	Indicate the lower bound of the interest rate
12	Delivery date for the contract's first part	Indicate the delivery date under the repo contract's first part in the YYYY-MM-DD format
13	Delivery date for the contract's second part	Indicate the delivery date under the repo contract's second part in the YYYY-MM-DD format
14	Settlement date for the contract's first part	Indicate the payment date under the repo contract's first part in the YYYY-MM-DD format
15	Settlement date for the agreement's second part	Indicate the payment date under the repo agreement's second part in the YYYY-MM-DD format

TABLE 8. DATA ON TERMS OF OTHER CONTRACTS

No.	Data type	Description
1	2	3
1	Contract identification code	Indicate the Unique Trade Identifier of the contract
2	Code type of the customer of party 1	Indicate the code type of the customer in compliance with the priority of the use of codes for identifying the parties to the contract pursuant to Appendix 8 to this Ordinance
3	Code of party 1	Indicate the code allowing for identifying the party to the contract
4	Underlying asset code	Indicate the underlying asset code in compliance with Appendix 7 to this Ordinance
5	Code of the underlying asset measurement unit	Indicate the code of the measurement unit of the underlying asset in compliance with the contract (units,

		tonnes, barrels, litres and so forth), except for the currency
6	Underlying asset quantity	Indicate the quantity in the units of measurement of the underlying asset (fill in the field 'Underlying asset nominal value', if this field cannot be filled in)
7	Code of the currency used to express the underlying asset nominal value	All-Russian Currency Classifier Code (in letters)
8	Underlying asset nominal value	Indicate the value, using numbers. If the underlying asset is represented by the currency, indicate the amount in the currency, relative to the unit of which the exercise price is set. Fill in one of the following fields: 'Underlying asset quantity' or 'Underlying asset nominal value'
9	Price per unit of underlying asset	Use numbers to indicate the underlying asset price in the currency, in which this price is expressed
10	Code of the currency used to express the price	All-Russian Currency Classifier Code (in letters)
11	Date of underlying asset delivery	Indicate the delivery date in the YYYY-MM-DD format
12	Total amount payable to party 2	Indicate the amount transferable in the currency, in which this amount is expressed. Fill in this field, if the price is not stipulated by the contract
13	Code of the currency used to express the total amount payable to party 2	All-Russian Currency Classifier Code (in letters)
14	Payment date	Indicate the date stipulated in the contract for money transfer, using the YYYY-MM-DD format
15	Code type of the customer of party 2	Indicate the code type of the customer in compliance with the priority of the use of codes for identifying the parties to the contract pursuant to Appendix 8 to this Ordinance
16	Code of party 2	Indicate the code allowing for identifying the party to the contract
17	Underlying asset code	Indicate the underlying asset code in compliance with Appendix 7 to this Ordinance
18	Code of the underlying asset measurement unit	Indicate the code of the measurement unit of the underlying asset in compliance with the contract (units, tonnes, barrels, litres and so forth), except for the currency
19	Underlying asset quantity	Indicate the quantity in the units of measurement of the underlying asset (fill in the field 'Underlying asset nominal value', if this field cannot be filled in)
20	Code of the currency used to express the underlying asset nominal value	All-Russian Currency Classifier Code (in letters)
21	Underlying asset nominal value	Indicate the value, using numbers. If the underlying asset is represented by the currency,



		indicate the amount in the currency, relative to the unit of which the exercise price is set. Fill in one of the following fields: 'Underlying asset quantity' or 'Underlying asset nominal value'
22	Price per unit of underlying asset	Use numbers to indicate the underlying asset price in the currency, in which this price is expressed
23	Code of the currency used to express the underlying asset price	All-Russian Currency Classifier Code (in letters)
24	Date of underlying asset delivery	Indicate the date of the underlying asset delivery in the YYYY-MM-DD format
25	Total amount payable to party 1	Indicate the amount transferable in the currency, in which this amount is expressed. Fill in this field, if the price is not stipulated by the contract
26	Code of the currency used to express the total amount payable to party 1	All-Russian Currency Classifier Code (in letters)
27	Payment date	Indicate the date stipulated in the contract for money transfer, using the YYYY-MM-DD format

Appendix 2  
to Bank of Russia Ordinance No. 3253-U, dated 30 April 2014,  
'On the Procedure for Maintaining the Register of Contracts  
Concluded on Terms of a Master Agreement (Single  
Agreement), Timeframes for Providing Information  
Necessary for Maintaining the Said Register and  
Information from the Said Register, and Submitting the  
Register of Contracts Concluded on Terms of a Master  
Agreement (Single Agreement) to the Central Bank of the  
Russian Federation (Bank of Russia)'

DATA ON SECURING FULFILLMENT OF OBLIGATIONS, INFORMATION ON MARGIN  
AMOUNTS AND FAIR (ESTIMATED) VALUE

TABLE 1. DATA ON COLLATERAL

No.	Data type	Description
1	2	3
1	Contract identification code	Indicate the Unique Trade Identifier of the contract, pursuant to which collateral is provided
2	Collateral type	Indicate the type of collateral under the contract (margin requirements): FC – full collateral (both the initial and variation margins are used); PC – partial collateral (only the variation margin is used); OC – one-sided collateral (the initial and (or) variation margins are provided by one party only); U – obligations under the contract are unsecured
3	Collateral form	Indicate the form of collateral under the contract: T – obligations under the contract are secured individually; G – obligations under the contract are secured cumulatively with obligations under other concluded contracts as part of the portfolio (cumulative collateral); U – obligations under the contract are unsecured

TABLE 2. INFORMATION ON MARGIN AMOUNTS

No.	Data type	Description
1	2	3
1	Identification code of the contract on the procedure for margin payments	Indicate the Unique Trade Identifier of the contract on the procedure for margin payments. Leave the field empty, if not applicable
2	Initial margin	Indicate the initial margin amount stipulated by the contract in the currency, in which this amount is

		expressed
3	Code type of the initial margin recipient	Indicate the code type of the recipient of the initial margin in compliance with the priority of the use of codes for identifying the parties to the contract pursuant to Appendix 8 to this Ordinance
4	Code of the initial margin recipient	Indicate the code allowing for identifying the recipient of the initial margin
5	Code type of the initial margin payer	Indicate the code type of the payer of the initial margin in compliance with the priority of the use of codes for identifying the parties to the contract pursuant to Appendix 8 to this Ordinance
6	Code of the initial margin payer	Indicate the code allowing for identifying the payer of the initial margin
7	Code of the initial margin currency	All-Russian Currency Classifier Code (in letters)
8	Floating margin	Indicate the floating margin in the currency, in which the floating margin is expressed
9	Code type of the floating margin recipient	Indicate the code type of the recipient of the floating margin in compliance with the priority of the use of codes for identifying the parties to the contract pursuant to Appendix 8 to this Ordinance
10	Code of the floating margin recipient	Indicate the code allowing for identifying the recipient of the floating margin
11	Code type of the floating margin payer	Indicate the code type of the payer of the floating margin in compliance with the priority of the use of codes for identifying the parties to the contract pursuant to Appendix 8 to this Ordinance
12	Code of the floating margin payer	Indicate the code allowing for identifying the payer of the floating margin
13	Code of the floating margin currency	All-Russian Currency Classifier Code (in letters)
14	Cumulative margin	Indicate the cumulative margin as of the date of valuation in the currency, in which this margin is expressed
15	Code type of the cumulative margin recipient	Indicate the code type of the recipient of the cumulative margin in compliance with the priority of the use of codes for identifying the parties to the contract pursuant to Appendix 8 to this Ordinance
16	Code of the cumulative margin recipient	Indicate the code allowing for identifying the recipient of the cumulative margin
17	Code type of the cumulative margin payer	Indicate the code type of the payer of the cumulative margin in compliance with the priority of the use of codes for identifying the parties to the contract pursuant to Appendix 8 to this Ordinance
18	Code of the cumulative margin payer	Indicate the code allowing for identifying the payer of the cumulative margin
19	Code of the cumulative margin currency	All-Russian Currency Classifier Code (in letters)
20	Margin calculation date	Indicate the date, as of which the required information on margins is given, using the YYYY-MM-DD format
21	Date of data entry into the	Indicate the date of entering data on the margin into

	register of contracts	the register of contracts in the YYYY-MM-DD format
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TABLE 3. INFORMATION ON FAIR (ESTIMATED) VALUE

No.	Data type	Description
1	2	3
1	Identification code of the contract on fair value calculation	Indicate the Unique Trade Identifier of the contract on fair value calculation
2	Contract identification code	Indicate the Unique Trade Identifier of the contract
3	Fair (estimated) value	Indicate the fair (estimated) value of the contract
4	Valuation type	Indicate the method of assessing the fair value: M – market valuation; O – model-based valuation
5	Fair (estimated) value currency	All-Russian Currency Classifier Code (in letters) (the currency, in which the fair (estimated) value is expressed)
6	Valuation date and time	The date and time, as of which information on the fair (estimated) value is given, in the YYYY-MM-DDThh:mm:ss format

Appendix 3

to Bank of Russia Ordinance No. 3253-U, dated 30 April 2014,  
'On the Procedure for Maintaining the Register of Contracts  
Concluded on Terms of a Master Agreement (Single  
Agreement), Timeframes for Providing Information  
Necessary for Maintaining the Said Register and  
Information from the Said Register, and Submitting the  
Register of Contracts Concluded on Terms of a Master  
Agreement (Single Agreement) to the Central Bank of the  
Russian Federation (Bank of Russia)'

## CLASSIFICATION CODES OF DERIVATIVES AND REPO CONTRACTS

1. The classification code of derivatives and repo contracts is the sequence of attributes of the specified code assigned in compliance with this Appendix.

2. The first attribute of the classification code of derivatives and repo contracts is assigned by the type of a derivative or a repo contract:

- O – an option contract (hereinafter, the option);
- S – a swap contract (hereinafter, the swap);
- F – a forward contract (hereinafter, the forward);
- R – a repo contract (hereinafter the repo).

### **Classification Code Attributes of Derivatives Represented by Options**

3. The second attribute of the classification code of derivatives represented by options characterises the right of the buyer under an option contract to acquire the underlying asset, demand payment for the underlying asset, conclude a respective contract or demand payment of a cash amount:

P – an option giving the option buyer the right to sell an underlying asset or derive profit as the seller of an underlying asset (the seller under the contract, which is the option's underlying asset) (put option);

C – an option giving the option buyer the right to buy an underlying asset or derive profit as the buyer of an underlying asset (the buyer under the contract, which is the option's underlying asset) (call option);

Z – an option giving the option buyer the right to choose the type of an option in the future.

4. The third attribute of the classification code of derivatives represented by options is assigned by the option style:

A – an American option – the option buyer has the right to demand the option exercise on any day during the term of the option;

E – a European option – the option buyer has the right to demand the option exercise only on the day of the option exercise stipulated in the contract;

B – a Bermuda option – the option buyer has the right to demand the option exercise on the dates stipulated in the contract.

5. The fourth attribute of the classification code of derivatives represented by options is assigned by the exercise price:

F – fixed exercise price;

L – floating exercise price determined in the future using the rules established in the

contract;

X – option exercise price determined by a different method stipulated in the contract.

6. The fifth attribute of the classification code of derivatives represented by options is assigned by the presence and the type of the barrier condition for the option exercise:

N – the condition determining the barrier price of an underlying or another asset, at which the option buyer has the right to demand the option exercise on a date specified in the contract (the period of time) or at which the option exercise is terminated, was not established;

B – the right to demand the option exercise arises from the moment when the price (exchange rate) of an underlying or another asset or the interest rate reach the level of the barrier price (exchange rate) or the interest rate determined in the contract, or as of the option expiration date provided that the price (exchange rate) of an underlying or another asset or the interest rate reach the level of the barrier price (exchange rate) or the interest rate determined in the contract;

D – the right to demand the option exercise ceases from the moment when the price (exchange rate) of an underlying or another asset or the interest rate reach the level of the barrier price (exchange rate) or the interest rate determined in the contract or as of the option expiration date provided that the price (exchange rate) of an underlying or another asset or the interest rate reach the level of the barrier price (exchange rate) or the interest rate determined in the contract;

M – the right to demand the option exercise arises provided that the price (exchange rate) of an underlying or another asset or the interest rate stay within the range determined in the contract for barrier (maximum and minimum) levels of the price (exchange rate) of an underlying asset or interest rates, or as of the option expiration date provided that the price (exchange rate) of an underlying or another asset or the interest rate stay within the range determined in the contract for the barrier levels of the price (exchange rate) or the interest rate;

X – the contract stipulates other terms related to the presence of barrier levels, at which the option buyer has the right to demand the option exercise on the date specified in the contract (period of time).

7. The sixth attribute of the classification code of derivatives represented by options is assigned by the underlying asset:

E – equity instruments (shares, units, depositary receipts for shares), the index of equity instruments, the basket of debt instruments;

D – debt financial instruments (bonds, interest rates), the index of debt instruments, the basket of equity instruments;

C – commodities, the commodity index, the basket of commodity assets;

V – currency, the exchange rate index, the basket of currencies;

A – a contract represented by a derivative;

X – a mixed portfolio, a basket of heterogeneous assets;

M – other.

### **Classification Code Attributes of Derivatives Represented by Swaps**

8. The second attribute of the classification code of derivatives represented by swaps characterises swaps by the type of underlying assets:

V – foreign exchange swap;

P – interest rate swap;

G – foreign exchange and interest rate swap;

C – commodity swap;

A – securities or index swap;

M – swap of other assets or mixed assets.

9. The third attribute of the classification code of derivatives represented by swaps characterises the obligations of the parties and is expressed in units accepted for the quantitative expression of the respective obligation:

F – a fixed obligation against a fixed obligation;

- V – a variable obligation against a variable obligation;
- D – a fixed obligation against a variable obligation;
- X – other.

10. The fourth attribute of the classification code of derivatives represented by swaps characterises the swap's nominal value:

- A – the swap's nominal value is amortised (reduced) over time;
- H – the swap's nominal value increases over time;
- W – the swap's nominal value remains unchanged;
- N – the swap's nominal value is unavailable;
- X – other.

11. The fifth attribute of the classification code of derivatives represented by swaps points to the presence of other derivatives as the swap's underlying assets:

- F – one or more than one underlying asset is a derivative;
- N – none of the underlying assets is a derivative.

12. The sixth attribute of the classification code of derivatives represented by swaps points to the right of one of the parties to change the term of the swap:

- E – the right of a party to extend the term of the swap;
- T – the right of a party to reduce the term of the swap;
- W – the right of a party to change (extend or reduce) the term of the swap;
- N – the right of the parties to unilaterally change the term of the swap was not established.

13. The seventh attribute of the classification code of derivatives represented by swaps is assigned by the upper and lower borders of the obligation amount:

- U – the upper border is established for the amount of the obligation;
- D – the lower border is established for the amount of the obligation;
- W – the upper and lower borders are established for the amount of the obligation;
- N – no borders were established for the amount of obligations.

### **Classification Code Attributes of Derivatives Represented by Forwards**

14. The second attribute of the classification code of derivatives represented by forwards is assigned by the type of underlying assets:

E – equity instruments (shares, units, depositary receipts for shares), the index of equity instruments, the basket of equity instruments;

D – debt financial instruments (bonds, interest rates), the index of debt instruments, the basket of debt instruments;

C – commodities, the commodity index, the basket of commodity assets;

V – currency, the exchange rate index, the basket of currencies;

A – a contract represented by a derivative;

X – a mixed investment portfolio;

M – other.

15. The third attribute of the classification code of derivatives represented by forwards is assigned by the quantity of underlying assets:

O – one underlying asset;

W – a basket of underlying assets with full delivery;

S – a basket of underlying assets with a settlement under one or several underlying assets at the choice of a party or under one or several underlying assets, which is (are) determined in compliance with the contract.

16. The fourth attribute of the classification code of derivatives represented by forwards is assigned by the forward price:

F – the fixed forward price (exchange rate) or interest rate;

L – the floating forward price (exchange rate) or interest rate determined in the future

using the rules established in the contract;

R – the range of the forward price (exchange rate) or interest rate set by the minimum and maximum levels of the price (exchange rate) or interest rate.

17. The fifth attribute of the classification code of derivatives represented by forwards is assigned by the presence of the condition of the early fulfillment of the contract:

E – a party or both parties have the right to demand the early fulfillment of the contract;

N – the condition of the early fulfillment was not established.

### **Classification Code Attributes of Repo Contracts**

18. The second attribute of the classification code of repo contracts is assigned by the type of assets:

E – equity instruments (shares, units, depositary receipts for shares), the basket of equity instruments;

D – debt financial instruments (bonds, interest rates), the basket of debt instruments;

X – a mixed portfolio, a basket of heterogeneous assets.

19. The third attribute of the code of repo contracts is assigned by the quantity of the types of assets:

O – one asset;

W – a basket of assets without a possibility to replace the collateral;

S – a basket of assets with a possibility to replace the collateral.

20. The fourth attribute of the classification code of repo contracts is assigned by the repo rate:

F – the fixed repo rate;

Z – the repo rate is equal to zero;

L – the floating repo rate;

R – the range of the repo rate set by the minimum and maximum levels of the repo rate.

21. The fifth attribute of the classification code of repo contracts is assigned by the term of the repo contract:

F – the fixed term of the repo contract;

E – the fixed term of the repo contract with the right to demand early fulfillment by a party to the contract;

O – the repo contract with an open date.



## CODES OF AMORTISATION OR INCREASE OF THE NOMINAL VALUE OF SWAP CONTRACTS OR FIXED INTEREST RATES

1. The codes of the amortisation or the increase of the nominal value stipulated in the contract (hereinafter, the nominal value) or fixed interest rates (hereinafter, interest rates) have the sequence of the following attributes:

1.1. The code first attribute:

AM – designates subtracting the amount from the previous nominal value or the previous interest rate, by which this nominal value or this interest rate is amortised;

AU – designates adding the amount to the previous nominal value or the previous interest rate, by which this nominal value or this interest rate is increased;

M – designates multiplying the previous nominal value or the previous interest rate by the coefficient, by which this nominal value or this interest rate is multiplied;

N – designates the schedule of changing the nominal value of swap contracts as defined in contracts.

1.2. The code second attribute with the first attribute AM and AU designates the amount expressed in numbers, by which the previous nominal value (the previous interest rate) is amortised, or which is added to the previous nominal value (the previous interest rate). This amount is expressed in the same units of measurement as the previous nominal value (the previous interest rate).

1.3. The code second attribute with the first attribute M designates the numerical coefficient, by which the previous nominal value (interest rate) is multiplied.

1.4. The code second attribute with the first attribute N designates the textual indication that includes the date (YYYY-MM-DD) and the parameter values (the interest rate or the nominal value). The 'date, parameter' combination is repeated for each change of the parameters fixed in the contract.

1.5. The code third attribute designates the numerical value reflecting the number of days from the day when the nominal value (the interest rate) was previously determined or changed to the day when the nominal value or the interest rate is changed.

1.6. The code fourth attribute R is indicated when the subsequent values (coefficients) of changes in the nominal value (interest rates) and the periods of their changes coincide.

2. The code attributes, beginning with the fourth attribute, are repeated, if at least one of the respective attributes does not coincide with the second and the third attribute.

3. Each of the code attributes is divided by the symbol «|».

Appendix 5

to Bank of Russia Ordinance No. 3253-U, dated 30 April 2014,  
'On the Procedure for Maintaining the Register of Contracts  
Concluded on Terms of a Master Agreement (Single  
Agreement), Timeframes for Providing Information  
Necessary for Maintaining the Said Register and  
Information from the Said Register, and Submitting the  
Register of Contracts Concluded on Terms of a Master  
Agreement (Single Agreement) to the Central Bank of the  
Russian Federation (Bank of Russia)'

### PERIODICTY CODES

The codes of the periodicity of payments and revaluation of collateral have the sequence of the following attributes:

1. The code first attribute designates the numerical value reflecting the number of payments, revaluation, etc. during the period of time corresponding to the code second attribute.

2. The code second attribute:

H - hour;

D - day;

W - week;

M - month;

Q - quarter;

Y - year.

3. The code third attribute:

E – the payment, revaluation, etc. are made additionally upon the occurrence of an event stipulated in the contract;

N – the contract does not stipulate the terms, under which the payment, revaluation, etc. are made additionally.

4. The code fourth attribute:

E – the payment, revaluation, etc. are not made during the period specified in the contract upon the occurrence of an event stipulated in the contract;

N – the contract does not stipulate the terms, under which the payment, revaluation, etc. are not made.

Appendix 6

to Bank of Russia Ordinance No. 3253-U, dated 30 April 2014,  
'On the Procedure for Maintaining the Register of Contracts  
Concluded on Terms of a Master Agreement (Single  
Agreement), Timeframes for Providing Information  
Necessary for Maintaining the Said Register and  
Information from the Said Register, and Submitting the  
Register of Contracts Concluded on Terms of a Master  
Agreement (Single Agreement) to the Central Bank of the  
Russian Federation (Bank of Russia)'

**RELATIONSHIP CODES  
OF THE ELEMENTS OF VARIOUS TYPES OF DERIVATIVES IN A MIXED DERIVATIVE  
AND DERIVATIVES THAT ARE THE UNDERLYING ASSET OF ANOTHER  
DERIVATIVE**

1. If a derivative is a simple instrument, which does not make a part of any complex structure as an underlying asset and does not form the basis for any complex structure, this contract is assigned the code S;

2. If a derivative is not an underlying asset of another derivative and this derivative has as an underlying asset a derivative, this contract is assigned the code M.

3. If a derivative contains the elements of two or more derivatives (hereinafter, complementary elements of contracts) but is not an underlying asset of another derivative, each element of such derivative is assigned:

the attribute M as the first attribute of the relationship code;

the number of the respective element as the second attribute of the relationship code.

4. A derivative, which is an underlying asset of another derivative, is assigned the attribute B as the first attribute of the relationship code and the attributes of a derivative, the underlying asset of which is this derivative, are assigned as the subsequent attributes of the relationship code.

5. If a derivative is an underlying asset of another derivative and simultaneously contains complementary elements of contracts, each such element is assigned:

the attribute B as the first attribute of the relationship code;

the attributes of a derivative, the underlying asset of which is this derivative, as the subsequent attributes of the relationship code;

the attribute C and the No. of the respective element as the attribute following the attribute of the relationship code indicated in paragraph 2 of this Clause.

to Bank of Russia Ordinance No. 3253-U, dated 30 April 2014,  
'On the Procedure for Maintaining the Register of Contracts  
Concluded on Terms of a Master Agreement (Single  
Agreement), Timeframes for Providing Information  
Necessary for Maintaining the Said Register and  
Information from the Said Register, and Submitting the  
Register of Contracts Concluded on Terms of a Master  
Agreement (Single Agreement) to the Central Bank of the  
Russian Federation (Bank of Russia)'

### CODES OF A BASIC (UNDERLYING) ASSET OF A DERIVATIVE

1. If the currency is a basic (underlying) asset of a derivative, this asset shall have as its code the letter code of the All-Russian Currency Classifier OK (MK (ISO 4217) 003-97) 014-2000 approved by Resolution of Gosstandard of Russia No. 405-st, dated 25 December 2000.

2. If a security is a basic (underlying) asset of a derivative, this asset shall have as its code the code ISIN assigned in compliance with the international standards ISO 6166 "Securities and Related Financial Instruments – International Securities Identification Numbering System (ISIN)." If a security has no ISIN code, the respective code shall be assigned in accordance with the procedure determined by the repository.

3. If a commodity is a basic (underlying) asset of a derivative, this asset shall be assigned the code in accordance with the procedure determined by the repository, proceeding from the commodity classification code as per the Foreign Economic Activity Commodity Nomenclature and the code of the regulated market, on which this commodity is traded. The code of the regulated market shall be assigned in accordance with the procedure determined by the repository.

4. If an interest rate is a basic (underlying) asset of a derivative, this asset shall be assigned the code in accordance with the procedure determined by the repository.

5. If a basic (underlying) asset of a derivative is represented by several assets differing from each other by one or several characteristics (hereinafter, the basket), this asset shall be assigned the code B and the date stipulated by Appendix 1 shall be supplemented by the following data:

the code of the asset in the basket in compliance with this Appendix;

the weight of the said asset in the basket (per cent);

the quantity of the said assets in the basket and the units of their measurement.

Appendix 8

to Bank of Russia Ordinance No. 3253-U, dated 30 April 2014,  
'On the Procedure for Maintaining the Register of Contracts  
Concluded on Terms of a Master Agreement (Single  
Agreement), Timeframes for Providing Information  
Necessary for Maintaining the Said Register and  
Information from the Said Register, and Submitting the  
Register of Contracts Concluded on Terms of a Master  
Agreement (Single Agreement) to the Central Bank of the  
Russian Federation (Bank of Russia)'

## METHODOLOGICAL RECOMMENDATIONS FOR SUBMITTING DATA TO THE REPOSITORY

### **Priority of the use of codes for identifying parties to the contract**

1. For legal entities required to send information to the repository, the international code of the Legal Entity Identifier (LEI) shall be indicated.

2. For legal entities that are not required to send information to the repository, the following type of the code may be indicated: LEI, TIN, SWIFT, codes of information systems, own codes assigned by a party to the master agreement, a party to the contract or the repository. The types of codes are enumerated in the priority descending order.

3. For individuals, the number of the passport or the number of the insurance individual account (SNILS) may be indicated.

4. The codes of news agencies or own codes assigned by a party to the contract or the repository shall be used as identifiers of the parties to the master agreement and (or) the contract, informing persons and customers, whereas in the cases when the repository sends an extract from the register of contracts, the journal of messages and the registration journal, the repository shall submit to the Bank of Russia all available data required for the customer identification.

5. A party to the contract that sends information to the repository shall have the right to use the services of several informing persons for transferring data on certain contracts concluded as part of one master agreement. Data on each informing person indicated in this capacity by the reporting party shall be entered into the register.

6. The affiliation of party 1 and party 2 shall be determined in compliance with Article 4 of RSFSR Law No. 948-1, dated 22 March 1991 'On Competition and Limitation of Monopoly Activity in Commodity Markets' (Bulletin of the Congress of RSFSR People's Deputies and the RSFSR Supreme Soviet, 1991, No. 16, Art. 499; Compendium of Legislation of the Russian Federation, 1995, No. 22, Art. 1977; 1998, No. 19, Art. 2066; 2002, No. 41, Art. 3969; 2006, No. 31, Art. 3434).

### **Unique Trade Identifier**

7. The Unique Trade Identifier (UTI) is a unique code formed and assigned at the time of concluding a contract indicated in Clauses 2 and 3 of this Ordinance, which provides for its unambiguous identification for the purposes of providing information to the repository.

8. In the event of concluding a contract indicated in Clauses 2 and 3 of this Ordinance with the assistance of an electronic trading site, the Unique Trade Identifier (UTI) shall be formed by the electronic trading site.

9. In the event of concluding a contract indicated in Clauses 2 and 3 of this Ordinance in hard copies, the Unique Trade Identifier (UTI) shall be formed by a party to such contract as agreed by the parties.

10. The Unique Trade Identifier (UTI) shall consist of the following parts:  
the prefix determined by ten symbols of the Legal Entity Identifier (LEI) from the seventh to the sixteenth symbol inclusive;

the transaction identifier, the maximum length of which is 42 symbols.

11. The following symbols shall be used to form the transaction identifier:  
Z, Y, X, W, V, U, T, S, R, Q, P, O, N, M, L, K, J, I, H, G, F, E, D, C, B, A, 9, 8, 7, 6, 5, 4, 3, 2, 1, 0.

### **Other recommendations on submitting information to the repository**

12. When the date and the time are indicated in the YYYY-MM-DDThh:mm:ss format, the symbol T shall be used to divide the date and the time.

13. The country code shall be indicated in compliance with the All-Russian Classifier of Countries.

14. A party to the contract, which is required to send information to the repository, shall provide all data mentioned in Appendix 1 to this Ordinance, except for those data, which have no relation to the contract.

15. Table 2 of Appendix 1 to this Ordinance: if relative to the codes of the customer of party 1 (party 2), the seller under the contract (the buyer under the contract) other codes are used as identifiers of the customers, the seller and the buyer under the contract, for example, the codes of news agencies or own codes assigned by a party to the contract or the repository, then in the case when the repository sends an extract from the register of contracts, the journal of messages and the registration journal to the Bank of Russia, the repository shall submit all available data required for identifying the customer, the seller (the buyer).

16. Tables 3, 4, 5, 6, 8 of Appendix 1 to this Ordinance:  
the underlying asset code (if the currency is an underlying asset, the code of the currency, relative to the unit of which the exercise price is set, shall be indicated);

the currency, in which the payment under the first and second parts of the contract is made in equal amounts (a deliverable asset), shall be indicated as the currency of an underlying asset;

if the underlying asset is not determined in a currency option, an asset determined in compliance with the contract on the provision of repository services shall be accepted as an underlying asset;

if an underlying asset cannot be determined in compliance with the contract on the provision of repository services, the underlying asset shall be determined upon contract between the parties.

17. Table 2 of Appendix 2 to this Ordinance:

data on margin amounts shall be filled in pursuant to the standard terms of the contract on the procedure for paying floating margin amounts as approved by the self-regulatory organisation of professional securities market participants and published in the press or posted on the Internet in compliance with Clause 1 of Article 51<sup>5</sup> of Federal Law No. 39-FZ, dated 22 April 1996, 'On the Securities Market' (Compendium of Legislation of the Russian Federation, 1996, No. 17, Art. 1918; 2001, No. 33, Art. 3424; 2002, No. 52, Art. 5141; 2004, No. 27, Art. 2711; No. 31, Art. 3225; 2005, No. 11, Art. 900; No. 25, Art. 2426; 2006, No. 1, Art. 5; No. 2, Art. 172; No. 17, Art. 1780; No. 31, Art. 3437; No. 43, Art. 4412;

2007, No. 1, Art. 45; No. 18, Art. 2117; No. 22, Art. 2563; No. 41, Art. 4845; No. 50, Art. 6247, Art. 6249; 2008, No. 52, Art. 6221; 2009, No. 1, Art. 28; No. 18, item 2154; No. 23, Art. 2770; No. 29, Art. 3642; No. 48, Art. 5731; No. 52, Art. 6428; 2010, No. 17, Art. 1988; No. 31, Art. 4193; No. 41, Art. 5193; 2011, No. 7, Art. 905; No. 23, Art. 3262; No. 27, Art. 3880; No. 29, Art. 4291; No. 48, Art. 6728; No. 49, Art. 7040; No. 50, Art. 7357; 2012, No. 25, Art. 3269; No. 31, Art. 4334; No. 53, Art. 7607; 2013, No. 26, Art. 3207; No. 30, Art. 4043, Art. 4082, Art. 4084; No. 51, Art. 6699; No. 52, Art. 6985; 2014, No. 30, Art. 4219);

information in compliance with this Appendix shall be submitted during three business days after the emergence of claims or obligations for the payment of the initial and floating margin amounts. If claims or obligations for the payment of margin amounts do not arise, information in compliance with this Appendix shall not be submitted;

it shall be permitted to enter into the register of contracts records that contain different values of margin amounts as of the same date of valuation received from different parties to the contract or informing persons.

18. Table 3 of Appendix 2 to this Ordinance:

if the fair (estimated) value of a contract is determined pursuant to a certain contract, information on the parties to the contract and other information shall be submitted in compliance with Table 3 of Appendix 2 to this Ordinance;

information on the fair (estimated) value shall be sent to the repository as far as revaluation is made, no later than three business days from the date, as of which information on the fair value is given. Should disagreements arise among the parties about the fair (estimated) value, information on the undisputed amount shall be sent to the repository. As the parties settle disagreements and agree final settlements, the respective information shall be sent to the repository;

it shall be permitted to enter into the register of contracts records that contain different estimates of the fair (estimated) value as of the same date of valuation received from different parties to the contract or informing persons.